



A G E N D A

Sacramento Transportation Authority Sacramento Abandoned Vehicle Service Authority (SAVSA)

700 H Street, Suite 1450 • Sacramento, California • 95814
(Board of Directors may participate via teleconference)

THURSDAY

APRIL 8, 2021

1:30 PM

Members: Rich Desmond, Sue Frost, Eric Guerra, Jeff Harris, Patrick Kennedy, Mike Kozlowski, Steve Miller, Don Nottoli (Chair), Paul Sandhu, Jay Schenirer, Phil Serna, Bobbie Singh-Allen, Kevin Spease, Donald Terry, Katie Valenzuela (Vice Chair), Mai Vang

Alternates: Nick Avdis, Bret Daniels, Shawn Farmer, Rosario Rodriguez, Darren Suen

The Governing Boards of the Sacramento Transportation Authority (STA) and the Sacramento Abandoned Vehicle Service Authority (SAVSA) meet concurrently.

PUBLIC COMMENT PROCEDURES

In compliance with directives of the County, State, and Centers for Disease Control and Prevention (CDC), this meeting is live stream and closed to public attendance. Meeting procedures are subject to change pursuant to guidelines related to social distancing and minimizing person-to-person contact.

Live Meeting comment

Make a verbal public comment during a meeting. The public comment phone line will open 15 minutes prior to the start of the meeting. Refer to the agenda and listen to the live meeting to determine when is the best time to call to be placed in queue to make a public comment. Callers may be on hold for up to an extended period of time and should plan accordingly. Dial (916) 875-2500 and follow the prompts to be placed in queue for a specific agenda item or off-agenda matter. When the Chair opens public comment for a specific agenda item or off-agenda matter, callers will be transferred from the queue into the meeting to make a verbal comment. Each agenda item queue will remain open until the public comment period is closed for the specific item.

Continued on back side →

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Sacramento Abandoned Vehicle Service Authority

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Written comment

- Send an email comment to BoardClerk@saccounty.net. Include meeting date and agenda item number or off-agenda item. Contact information is optional.
- Mail a comment to 700 H Street, Suite 2450, Sacramento, CA 95814. Include meeting date and agenda item number or off-agenda item. Contact information is optional.
- Written comments are distributed to members, filed in the record, and will not be read aloud.

VIEW MEETING

The meeting is videotaped and cablecast live on Metrocable 14 on the Comcast, Consolidated Communications and AT&T U-Verse Systems. It is closed captioned for hearing impaired viewers and webcast live at <http://metro14live.saccounty.net>. There will be a rebroadcast of this meeting on Sunday at 2:00 p.m. A DVD copy is available for checkout through the County Library System seven to ten days following the meeting.

MEETING MATERIAL

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ACCOMMODATIONS

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CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE

COMMENT ITEMS

1. Comments From The Public Regarding Matters Not On The Agenda
2. Executive Director's Report

Sabrina Drago

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Sacramento Abandoned Vehicle Service Authority

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CONSENT ITEMS

3. Approve Action Summary: March 11, 2021 STA Governing Board Meeting ◀ *Jennifer Doll*
4. Sacramento Abandoned Vehicle Abatement Program—2nd Quarter Fiscal Year 2021 *Jennifer Doll*
5. Capital Improvement Program Financing Update *Timothy Jones*
6. Approve Measure A Capital Allocation And Expenditure Contracts ◀ *Timothy Jones*

SEPARATE ITEMS

7. Approve Decennial Review Updates ◀ *Sabrina Drago*
8. Smart Growth Incentive Program And Sacramento Area Council Of Governments (SACOG) Community Design Grant Update *SACOG Staff*
9. Approve The SACOG Community Design Grant And Smart Growth Incentive Program Match ◀ *Sabrina Drago*
10. Comments Of Authority Members *All*

◀ *Denotes items that require Board action*

Staff reports and associated materials are available online at www.sacta.org.
For assistance with agenda packets, please contact STA at (916) 323-0080 or
info@sacta.org. For questions regarding the agenda or any item on the
agenda, please contact Sabrina Drago at (916) 323-0080 or
sabrina@sacta.org.



APRIL 8, 2021

AGENDA ITEM # 2

EXECUTIVE DIRECTOR'S REPORT

Action Requested: Receive and File

Key Staff: Sabrina Drago, Executive Director

ITOC Committee Member Replacement

Independent Taxpayer Oversight Committee (ITOC) voting member, Beth Tincher, is moving out of state and will no longer be qualified to serve on the committee. The Committee Chair, Joan Borucki, has requested that Authority staff post the opening on both the STA and the ITOC websites. Please direct anyone you may know that would be a good candidate for the position to either website for more information.

New Audit Firm

Per the Measure A Ordinance, the firm providing the annual STA audit can only provide the services for three consecutive years. The firm, Crowe LLC, completed its three-year term and the ITOC is in the process of selecting a new firm. They will present their recommendation to the Governing Board when the selection process is completed.

Development Fee Revenue Projections

Authority staff hired Economic and Planning Systems, Inc. (EPS) to develop revenue projections and analyze the current list of land use categories for the Sacramento Countywide Transportation Mitigation Fee Program (SCTMFP). This effort is expected to take one to two months and will result in better revenue estimates for the program in addition to potential recommendations for streamlining the number of land use categories.

FY 2021-2022 Budget

The annual budget for FY 2021-22 is in progress. Staff anticipates bringing the draft budget before the Governing Board during the May meeting.

Federal Funding for Transportation Projects

The presidential administration is expected to release an outline of their Fiscal Year 2021-2022 budget on April 1, 2021. Staff anticipates having more information on federal priorities in the next month or so. However, earmarks are being allowed again for the first time in over a decade, with new parameters, and there is anticipation of local agencies benefiting from the change in policy.



APRIL 8, 2021

AGENDA ITEM # 3

APPROVE ACTION SUMMARY: MARCH 11, 2021 STA GOVERNING BOARD MEETING

Action Requested: Approve

Key Staff: Jennifer Doll, Special Programs Manager

Recommendation

Approve the attached Action Summary of the March 11, 2021 meeting of the STA Governing Board.

Attachment



ACTION SUMMARY
SACRAMENTO TRANSPORTATION AUTHORITY
SACRAMENTO ABANDONED VEHICLE SERVICE AUTHORITY
700 "H" STREET - SUITE 1450
SACRAMENTO, CALIFORNIA 95814

THURSDAY

MARCH 11, 2021

1:30 PM

Members: Rich Desmond, Sue Frost, Donald Terry, Eric Guerra, Jeff Harris, Patrick Kennedy, Mike Kozlowski, Steve Miller, Don Nottoli (Chair), Paul Sandhu, Jay Schenirer, Phil Serna, Bobbie Singh-Allen, Kevin Spease, Katie Valenzuela (Vice Chair), Mai Vang
(Members Miller, Schenirer and Spease were not present)

Alternates: Nick Avdis, Bret Daniels, Shawn Farmer, Rosario Rodriguez, Darren Suen, Siri Pulipati

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CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE

COMMENT ITEMS

1. Comments From The Public Regarding Matters Not On The Agenda

1:37 PM Board Action: No public comments were made.

2. Executive Director's Report

1:37 PM Board Action: Executive Director Sabrina Drago provided an update regarding the Measure A sales tax revenue projections over the remaining 18 years, the coordination between the five partner agencies remaining in the Capital Improvement Project Program relative to the two remaining decennial periods, a Decennial Review update, STA participation in the Self Help Counties Coalition (SHCC) meetings, the Sacramento Area Council of Governments (SACOG) Community Design Grants process and timeline, the release of a request for proposal for the Freeway Service Patrol, and welcomed Member Mike Kozlowski as the new City of Folsom representative.

CONSENT ITEMS

1:47 PM Board Action: Jeff Harris/ Rich Desmond - Approved the Consent Matters, Items 3 through 9, as recommended.

AYES: Sue Frost, Donald Terry, Eric Guerra, Jeff Harris, Mike Kozlowski, Patrick Kennedy, Don Nottoli, Paul Sandhu, Phil Serna, Bobbie Singh-Allen, Katie Valenzuela, Mai Vang, Rich Desmond

NOES: (None)

ABSTAIN: (None)

ABSENT: Steve Miller, Jay Schenirer, Kevin Spease

RECUSAL: (None)

(PER POLITICAL REFORM ACT (§ 18702.5.))

3. Approve Action Summary: January 14, 2021 STA Governing Board Meeting December 10, 2020 STA Addendum Governing Board Meeting

1:47 PM Board Action: Approved as recommended.

4. Capital Allocation Funding Agreements

1:47 PM Board Action: Approved as recommended.

5. Measure A Capital Projects Status Report – 2nd Quarter Fiscal Year 2021

1:47 PM Board Action: Received and filed the report.

6. Budget To Actual Report – 2nd Quarter Fiscal Year 2021

1:47 PM Board Action: Received and filed the report.

7. Annual Adjustment To The Sacramento Countywide Transportation Mitigation Fee Program (SCTMFP) Rates

1:47 PM Board Action: Approved as recommended.

8. Request For Proposal For Polling Consultant

1:47 PM Board Action: Received and filed the report.

9. I-5 Managed Lanes Infrastructure For Rebuilding America (INFRA) Grant Application Sponsorship

1:47 PM Board Action: Received and filed the report.

SEPARATE ITEMS

10. Independent Tax Payer Oversight Committee Update

1:49 PM Board Action: Chairperson Joan Borucki of the Independent Tax Payer Oversight Committee provided a presentation regarding the inception and composition of the committee and the recommendation that the STA Board consider an amendment to the Measure A Expenditure Ordinance to add two voting member seats for the public at large to the ITOC committee. Received and filed the report. No Board action.

11. Comments Of Authority Members

2:02 PM Board Action: No comments were made.

Adjourned at 2:02 p.m.

Respectfully Submitted,



DORELLE JOHNSON, Deputy Clerk
Sacramento Transportation Authority/
Sacramento Abandoned Vehicle Service Authority



APRIL 8, 2021

AGENDA ITEM # 4

**SACRAMENTO ABANDONED VEHICLE ABATEMENT PROGRAM
2ND QUARTER FISCAL YEAR 2021**

Action Requested: Receive and File

Key Staff: Jennifer Doll, Special Programs Manager

Background Information

The Sacramento Abandoned Vehicle Service Authority (SAVSA) is funded by a \$1 vehicle registration fee collected by the Department of Motor Vehicles (DMV) when a new car is registered in Sacramento County. These revenues are transmitted to the city and county zoning enforcement units which remove abandoned vehicles from streets, parking lots, fields, and private parcels. SAVSA distributes approximately \$1.1 million collected per year to the County and participating cities based on a formula of population and relative number of abatements performed.

Discussion

The second quarter receipts from the State Controller were \$ 312,571.55. Member agencies reported a total of 5,175 abandoned vehicle abatements for the same period.

The individual quarterly receipts, vehicle abatements and the formula-based distributions for each member agency are detailed in the following two tables.

	Balance Forward	Quarterly Distribution	Total Available	Claim	Payment	Ending Balance
Citrus Heights	\$(8,803.28)	\$19,126.35	\$10,323.08	\$17,247.42	\$10,323.08	\$-
Elk Grove	\$-	\$46,943.43	\$46,943.43	\$107,882.82	\$46,943.43	\$-
Folsom	\$-	\$8,808.69	\$8,808.69	\$22,386.20	\$8,808.69	\$-
Galt	\$36,508.56	\$8,940.77	\$45,449.33	\$14,391.52	\$19,090.62	\$26,358.70
Rancho Cordova	\$-	\$16,366.30	\$16,366.30	\$50,954.64	\$16,366.30	\$-
Sacramento	\$(17,176.77)	\$140,245.62	\$123,068.85	\$158,879.19	\$123,068.85	\$-
Sacramento County	\$-	\$72,140.40	\$72,140.40	\$260,796.30	\$72,140.40	\$-
Totals	\$10,528.51	\$312,571.55	\$323,100.06	\$632,538.09	\$296,741.36	\$26,358.70



APRIL 8, 2021

AGENDA ITEM # 5

CAPITAL IMPROVEMENT PROGRAM FINANCING UPDATE

Action Requested: Receive and File

Key Staff: Timothy Jones, Chief Financial Officer

Background Information

The Measure A Capital Improvement Program (CIP) was approved by voters in November 2004 as part of the Ordinance and Expenditure Plan. The plan identified 54 projects that were to be constructed by 10 agencies, namely: the County of Sacramento, the Cities of Sacramento, Rancho Cordova, Elk Grove, Folsom, Citrus Heights, Galt, the Sacramento Regional Transit District, the Capital SouthEast Connector Authority, and Caltrans.

A portion of the sales tax revenue (20.75%) and revenue from the Sacramento Countywide Transportation Mitigation Fee Program (SCTMFP) are committed to financing the projects. In 2009, the total amount of funding was estimated to be \$1.4 billion. At that time, the funding was programmed by project and fiscal year for the duration of the Measure A program – through 2039.

In 2009, the Authority issued \$318.3 million in bonds to advance some of the projects. In 2012, it issued another \$53.5 million in bonds. Together, the funding allowed many large projects to be partially or substantially completed early in the program – notably the Folsom Bridge Crossing, Central Galt Interchange, Grantline Rd./Hwy 99 interchange upgrade, Consumnes Blvd. Interchange, the Downtown Intermodal Station, Hwy 50 HOV lanes, and light rail extensions.

Discussion

Last summer, Authority staff began reassessing the financing plan developed in 2009 to determine how much funding remained available to the program given the interest costs for the bonded debt and decreases in estimated sales tax and development fee revenues. Since that time, it has been determined that the estimated interest on the debt is \$334 million, thereby reducing the funding available for projects from \$1.4 billion (see background information) to \$1.06 billion. In addition, revenues for sales tax are estimated to be \$101 million lower than expected of which 20.75 percent (\$21 million) is for the CIP. Finally, revenues for the SCTMFP are estimated to be less than originally planned by \$295 million - \$193 million compared to \$488 million. In total, the CIP is currently expected to receive about \$750 million, \$650 million less than originally planned in 2009.

Since the program's inception, roughly \$525 million of the estimated \$750 million has been expended including the amounts currently committed through contracts. Almost \$372 million was funded with

bonds which were exhausted in 2017, while the remainder is being funded on a pay-as-you-go basis with sales tax and SCTMFP funds. Those funds are not coming in as fast as they are being spent, so the fund balance is estimated to be \$30 million by June 30, 2021, down from \$52 million in 2017 when the bond proceeds were exhausted.

Below is a summary of the financing plan for the next five years. It includes only those agencies that have remaining funding in the CIP. Fund balance is expected to continue declining with an anticipated \$1.6 million deficit in the last year – after reducing the fund balance by the reserve amount. Authority staff will be updating the financing plan annually through the budget process and negotiating any necessary changes to the spending plan.

Capital Improvement Program Financing Plan						
	FY 2020-21	FY 2021-22	FY 2022-23	FY 2023-24	FY 2024-25	FY 2025-26
Beginning Fund Balance	42,545,332	32,423,129	19,123,705	12,811,889	7,668,275	6,486,152
Expenditure Assumptions	-	-	-	-	-	-
California DOT:	-	-	-	-	-	-
I-5 Elk Grove	10,000,000	6,000,000	5,400,000	-	-	-
I-5 Carpool Lanes US 50 - I-80	-	-	-	4,000,000	7,000,000	10,500,000
Hwy 50 HOV	3,500,000	3,500,000	2,700,000	1,000,000	1,000,000	-
Capital SouthEast Connector	4,300,000	3,794,692	2,269,345	2,000,000	2,000,000	2,891,062
City of Citrus Heights:	-	-	-	-	-	-
Greenback Lane	-	600,000	-	-	-	-
Sunrise Blvd.	-	-	-	300,000	-	-
Antelope Rd.	-	-	-	300,000	-	-
City of Rancho Cordova	2,756,887	-	-	-	-	-
City of Sacramento:	-	-	-	-	-	-
Intermodal	445,112	1,500,000	2,000,000	5,000,000	-	-
Richards/I-5	700,589	700,589	700,589	700,589	2,500,000	5,000,000
County of Sacramento:	-	-	-	-	-	-
Bradshaw Rd. Phase 2	-	-	3,300,000	-	-	-
Folsom Blvd.	500,000	4,097,000	100,000	100,000	1,500,000	-
Greenback Lane Phase 1	300,000	600,000	600,000	1,365,000	1,400,000	-
Hazel Ave. Phase 1	2,500,000	5,732,409	-	-	-	-
Hazel Ave. 50 to Folsom	250,000	1,200,000	1,500,000	3,000,000	4,546,828	5,000,000
Madison Ave. Phase 1	400,000	400,000	600,000	1,176,406	1,300,000	4,000,000
South Watt Phase 1	130,000	400,000	3,000,000	3,269,488	-	-
Sunrise - Jackson to Grant Line	75,000	225,000	377,000	1,723,000	-	-
Watt Ave. - Antelope to Cap City	500,000	1,250,000	1,240,958	-	-	-
Smart Growth Incentive Program	-	5,835,989	835,989	835,989	835,989	835,989
Total Expenditures	26,357,588	35,835,679	24,623,881	24,770,472	22,082,817	28,227,051
Fund Balance Reserve	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Ending Fund Balance	30,423,129	17,123,705	10,811,889	5,668,275	4,486,152	(1,617,057)



APRIL 8, 2021

AGENDA ITEM # 6

APPROVE MEASURE A CAPITAL ALLOCATION & EXPENDITURE CONTRACTS

Action Requested: Authorize Executive Director

Key Staff: Timothy Jones, Chief Financial Officer

Recommendation

1. Execute Capital Allocation and Expenditure Contract STA-21-CAE-001 with the County of Sacramento; and
2. Execute Capital Allocation and Expenditure Contract STA-21-CAE-002 with the City of Sacramento; and
3. Execute Capital Allocation and Expenditure Contract STA-21-CAE-003 with the Capital SouthEast Connector Authority

Background Information

Last year a significant effort was undertaken to establish which agencies would be receiving funds remaining in the Measure A Capital Improvement Program (CIP) through 2039. That effort led to STA Governing Board (Board) action in October 2020 approving targeted allocations to the following agencies:

Agency	Targeted Amount
County of Sacramento	\$100,000,000
Caltrans	\$100,000,000
City of Citrus Heights	\$9,000,000
City of Sacramento	\$37,500,000
Capital SouthEast Connector Authority	\$21,000,000
Total	\$267,500,000

Discussion

Over the last several months STA staff have been working with the Professional Advisory Group to develop a financing plan for the CIP through 2039. The five agencies remaining in the program prioritized projects given the constraints of the estimated remaining funds. During that effort, each project was cash-flowed by fiscal year through the end of the program. Of the five agencies remaining in the program, only three plan on spending Measure A funds on their projects in the coming fiscal year – FY 2021-22.

To facilitate the anticipated spending, there are three contracts before you today – the County of Sacramento, the City of Sacramento, and the Capital SouthEast Connector JPA. The two remaining agencies, Caltrans and the City of Citrus Heights, are not programmed to receive new funding until fiscal year 2023-24. As such, we will wait until late in fiscal year 2022-23 to bring those contracts to the Board for its consideration.

Below is a list of projects by agency and the related allocations that are represented in the attached contracts.

Agency	Project	Funding Amount
County of Sacramento	Bradshaw Rd. – Jackson Hwy.	\$3,300,000
County of Sacramento	Folsom Blvd. – Watt to Bradshaw	\$3,800,000
County of Sacramento	Hazel Avenue – US 50 to Madison	\$3,287,409
	Total	\$10,387,409

Agency	Project	Funding Amount
City of Sacramento	Downtown Intermodal Station	\$2,000,000

Agency	Funding Amount
Capital SouthEast Connector	\$4,000,000

The contracts with the County of Sacramento and the Capital SouthEast Connector Authority are three years in length – fiscal years 2022, 2023, and 2024. The contract with the City of Sacramento is two years in length – fiscal years 2022 and 2023. Staff incorporated a new reporting requirement within the new contracts – “At least annually, at the request of the STA Executive Director, a representative from the entity shall provide a status report for each project funded under this agreement during a regularly scheduled meeting of the STA Governing Board.” This effort, in addition to the quarterly Capital Status Reports already being provided, will inform each member of the Board with updated information for each project financed by Measure A.

Attachments

CONTRACT STA-21-CAE-001

**Capital Allocation and Expenditure Contract
For County of Sacramento Measure A Transportation Capital Projects**

This Contract is made as of _____ 2021 by and between the Sacramento Transportation Authority, a local transportation authority formed pursuant to the provisions of Public Utilities Code 180000 et seq., hereinafter called "Authority", and the County of Sacramento, hereinafter called "Entity."

RECITALS

WHEREAS, in 2004, the electors of Sacramento County approved, by two-thirds vote, sales tax Measure 'A' and Authority Ordinance No. 04-01 ("Measure A Ordinance"); and

WHEREAS, the Measure A Ordinance includes the "Sacramento County Transportation Expenditure Plan 2009–2039" ("Expenditure Plan"); and

WHEREAS, the Expenditure Plan includes the following capital improvement projects: Hazel Ave. US 50 to Madison Ave., Folsom Blvd. Watt Ave. to Bradshaw Rd., Bradshaw Rd./Jackson Hwy. Intersection ("Projects"); and

WHEREAS, AUTHORITY and ENTITY are parties to a prior and separate Capital Project Allocation and Expenditure Contract for the Projects dated June 14, 2018 ("2018 Contract"); and

WHEREAS, Section 4 of the 2018 Contract was amended a first time in March 2021 to allow additional time to expend the funds allocated in the 2018 Contract on eligible Project costs through June 30, 2025; and

WHEREAS, the allocation in the 2018 Contract does not constitute the entire allocation to Entity for the Projects under the Expenditure Plan; and

WHEREAS, additional Entity funding was negotiated in accordance with STA Governing Board action in October 2020; and

WHEREAS, it is desired that the additional Entity funding approved in October 2020 be identified in this separate Contract for accountability and tracking purposes.

NOW, THEREFORE in consideration of the conditions herein contained, Authority and Entity do hereby agree to enter into "parallel" Contracts.

THE PARTIES AGREE AS FOLLOWS:

1. Purpose. The purpose of this Contract is to:

- a. Provide for the transfer by the Authority to Entity of sales tax revenue and/or transportation mitigation fee revenue, including both current revenues and proceeds of Authority financings (i.e. proceeds from tax-exempt bonds, hereinafter called "Bonds") for the Capital Projects described in Section 2.
- b. Set forth Entity's obligations with respect to the receipt and expenditure thereof.

2. Description of Capital Projects. The Capital Projects funded by this Contract are:

- a. HAZEL AVENUE: US HIGHWAY 50 – MADISON AVENUE. Design, engineering, and environmental clearance; right-of-way acquisition; and construction to widen Hazel Avenue from four lanes to six lanes between US Highway 50 and Madison Avenue. The project includes the construction of new bicycle and pedestrian facilities, including bike lanes, separated sidewalks, and a barrier separating bicycle/pedestrian/equestrian modes from vehicular traffic on the American River Bridge. The project will also construct parkway features throughout the corridor, including landscaping, pedestrian pathways, and sound walls. The project includes traffic signal modifications at Curragh Downs Drive, Winding Way, La Serena Drive, and the fire station at Roediger Lane.
- b. FOLSOM BOULEVARD: WATT AVENUE – BRADSHAW ROAD. Engineering, environmental clearance, design, right-of-way acquisition, and construction of capacity and complete street improvements on Folsom Blvd. to address existing and projected congestion, improve mobility for all modes of travel, and implement the ultimate corridor configuration based on the adopted General Plan and Metropolitan Transportation Plan. Improvements include, but are not limited to: new bicycle and pedestrian facilities including bike lanes, curbs, gutters, sidewalks, disability access features and ADA upgrades; pavement rehabilitation and resurfacing; raised landscaped medians; intersection and traffic signal modifications; traffic operations system upgrades and deployment of intelligent transportation system strategies; new transit stops and access improvements; landscaping, streetscape and other aesthetic enhancements; and sound walls.
- c. BRADSHAW ROAD PHASE 2: BRADSHAW ROAD/JACKSON HWY INTERSECTION. Engineering, environmental clearance, design, right-of-way acquisition, and construction of capacity and traffic signal improvements at the Bradshaw/Jackson Intersection to address existing and projected congestion, reduce GHG emissions, improve mobility for all modes of travel, and implement the ultimate intersection configuration based on the adopted General Plan and Metropolitan Transportation Plan. Improvements include but are not limited to: new through lanes in each direction, dual left turn lanes in each direction, new bicycle facilities on Bradshaw Road, and pedestrian facilities including bike lanes, curbs, gutters, sidewalks, disability access features and ADA upgrades; pavement

rehabilitation and resurfacing; raised medians; traffic signal modifications; and traffic operations system upgrades and deployment of intelligent transportation system strategies.

3. Definitions. Unless the context otherwise requires, as used in this Contract, the following terms shall have the following meanings:
 - a. “Act” means the Local Transportation Authority and Improvement Act set forth in the provisions of the Public Utilities Code commencing with Section 180000.
 - b. “Board” means the Governing Board of the Sacramento Transportation Authority.
 - c. “Measure A” or “Ordinance” means Sacramento Transportation Authority Ordinance No. STA 04-01.
4. Amount of Allocation and Period of Expenditure. The Board has allocated to Entity the maximum sum of **\$10,387,409** for expenditure on the Capital Projects during FYs 2022, 2023, and 2024. No additional funds will be provided under this contract.
5. Compliance. The use and expenditure of sales tax revenue and transportation mitigation fee revenue by Entity shall be in full compliance with the provisions of the Act, the Ordinance, applicable resolutions of the Board, this Contract, and all other applicable contractual and legal requirements.
6. Tax Compliance. The Authority specifically advises the Entity that portions of the Capital Project may be financed with proceeds of Bonds issued by the Authority. These Bonds are obligations for which the interest paid to investors is excluded from gross income for federal tax purposes. Under federal tax rules, a number of requirements and restrictions must be met in order for interest on the Bonds to be treated as tax-exempt, including restrictions on the use of Bond-financed property and the investment of Bond proceeds. Such requirements and restrictions continue for the life of the Bonds. To ensure such compliance, the Entity hereby agrees to abide by the additional requirements as set forth in Section 7(d) below.
7. Entity Certifications.
 - a. Maintenance of Effort. Entity certifies that it is currently in compliance with, and will remain in compliance throughout the term of this Contract with, the maintenance of effort requirements set forth in the Ordinance:
 - i. Entity shall not reduce the amount of its non-federal, non-state, non-Measure A transportation expenditure while receiving sales tax revenue.
 - ii. Entity shall continue to impose its non-SCTMFP transportation mitigation fees at rates that are not less than the non-SCTMFP transportation mitigation fees

imposed by Entity during Fiscal Year 2008-09, unless supported by an engineering-based fee study approved by the County Board of Supervisors.

- b. Sacramento Countywide Transportation Mitigation Fee Program (SCTMFP). Entity certifies that it is implementing the SCTMFP in accordance with STA Resolution STA-06-0006 and related guidelines adopted by the Board.
- c. Routine Accommodations of Bicycles and Pedestrians. Entity certifies that the Capital Projects' design provides for routine accommodation of bicycles and pedestrians.
- d. Tax Certifications. Entity agrees that the Capital Project is properly described in Section 2 above, and Entity expects to own all components of the Capital Project for the entire useful lives of such assets. For the same duration, Entity does not expect that any component of the Capital Project will be used by any party other than the public or by state or local government (including use by operation, management, lease, or any preferential right). Entity shall notify authority in the event of any change to such expectations and agrees to consult and coordinate in good faith with Authority to preserve the tax-exempt status of any affected Bonds.

8. Disbursement of Revenues.

- a. Disbursements of revenue pursuant to this Contract shall be made on a reimbursement basis. If Entity demonstrates a need for advance payments, the STA Executive Director may advance up to 10 percent of the total allocation after Entity commences work and begins incurring project expenses.
- b. Claims for payment shall be submitted no more often than monthly.
- c. All claims shall be approved by Entity's Project Manager prior to submission to Authority.

9. Reporting.

- a. Entity shall submit quarterly status reports in approved STA format. Information in the status report shall include:
 - Total anticipated project cost
 - Amount funded to date
 - Expenditures by quarter
 - Project status

- b. Quarterly status reports will be due to the Authority thirty (30) days after the end of each calendar quarter.
- c. At least annually, at the request of the STA Executive Director, a representative from the entity shall provide a status report for each project funded under this agreement during a regularly scheduled meeting of the STA Governing Board.

10. Audits.

- a. STA staff will review progress payment claims on a selected basis.
- b. Annual audits of the STA will be performed by an independent auditor and reviewed by the Independent Taxpayer Oversight Committee (ITOC). As part of that audit, Entity expenditures will also be audited.
- c. Claims found to have inadequate supporting documentation may be denied by the STA Executive Director. Funds spent for any expenditures found to be in noncompliance with this Contract may be withheld from future payments. The Board may reallocate any funds so withheld for other Measure A capital projects.

11. Designation of Project Manager. Entity shall designate a Project Manager who shall be the responsible representative of Entity to Authority staff relating to administration of this Contract.

12. Signs. Entity shall post reflective signs at Capital Project sites while they are open for public use stating that the project is funded with Measure A funds. The signs must be approved by the STA Executive Director.

13. Indemnity and Hold Harmless. Entity shall indemnify and save harmless the Sacramento Transportation Authority, its officers, employees, and agents, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, and expenses of every type and description to which any or all of them may be subjected, by reason of or resulting from, directly or indirectly, the performance of this Contract by Entity whether or not caused in part by passive negligence of a party indemnified hereunder. The foregoing shall include, but not be limited to, any attorney fees reasonably incurred by Authority.

The parties promise and agree to abide by the terms of this Contract as set forth above.

COUNTY OF SACRAMENTO

**SACRAMENTO TRANSPORTATION
AUTHORITY**

Authorized Signature

Sabrina Drago, Executive Director

Printed Name and Title

Date

Date

CONTRACT STA-21-CAE-002

**Capital Allocation and Expenditure Contract
For City of Sacramento Measure A Transportation Capital Projects**

This Contract is made as of _____2021 by and between the Sacramento Transportation Authority, a local transportation authority formed pursuant to the provisions of Public Utilities Code 180000 et seq., hereinafter called "Authority", and the City of Sacramento, hereinafter called "Entity."

RECITALS

WHEREAS, in 2004, the electors of Sacramento County approved, by two-thirds vote, sales tax Measure 'A' and Authority Ordinance No. 04-01 ("Measure A Ordinance"); and

WHEREAS, the Measure A Ordinance includes the "Sacramento County Transportation Expenditure Plan 2009–2039" ("Expenditure Plan"); and

WHEREAS, the Expenditure Plan includes the following capital improvement project: Downtown Intermodal Station ("Project"); and

WHEREAS, AUTHORITY and ENTITY are parties to a prior and separate Capital Project Allocation and Expenditure Contract for the Projects dated August 27, 2015 ("2015 Contract"); and

WHEREAS, Section 4 of the 2015 Contract was amended a fourth time in March 2021 to allow additional time to expend the funds allocated in the 2015 Contract on eligible Project costs through June 30, 2024; and

WHEREAS, the allocation in the 2015 Contract does not constitute the entire allocation to Entity for the Projects under the Expenditure Plan; and

WHEREAS, additional Entity funding was negotiated in accordance with STA Governing Board action in October 2020; and

WHEREAS, it is desired that the additional Entity funding approved in October 2020 be identified in this separate Contract for accountability and tracking purposes.

NOW, THEREFORE in consideration of the conditions herein contained, Authority and Entity do hereby agree to enter into "parallel" Contracts.

THE PARTIES AGREE AS FOLLOWS:

1. Purpose. The purpose of this Contract is to:

- a. Provide for the transfer by the Authority to Entity of sales tax revenue and/or transportation mitigation fee revenue, including both current revenues and proceeds of Authority financings (i.e. proceeds from tax-exempt bonds, hereinafter called “Bonds”) for the Capital Projects described in Section 2.
 - b. Set forth Entity’s obligations with respect to the receipt and expenditure thereof.
2. Description of Capital Projects. The Capital Projects funded by this Contract are:
 - a. Project design, environmental clearance, and construction to restore the historic train station at 5th & I Streets in downtown Sacramento; construct a modern intermodal passenger transfer facility; and integrate the facility with planned adjacent development.
3. Definitions. Unless the context otherwise requires, as used in this Contract, the following terms shall have the following meanings:
 - a. “Act” means the Local Transportation Authority and Improvement Act set forth in the provisions of the Public Utilities Code commencing with Section 180000.
 - b. “Board” means the Governing Board of the Sacramento Transportation Authority.
 - c. “Measure A” or “Ordinance” means Sacramento Transportation Authority Ordinance No. STA 04-01.
4. Amount of Allocation and Period of Expenditure. The Board has allocated to Entity the maximum sum of **\$2,000,000** for expenditure on the Capital Projects during FYs 2022 and 2023. No additional funds will be provided under this contract.
5. Compliance. The use and expenditure of sales tax revenue and transportation mitigation fee revenue by Entity shall be in full compliance with the provisions of the Act, the Ordinance, applicable resolutions of the Board, this Contract, and all other applicable contractual and legal requirements.
6. Tax Compliance. The Authority specifically advises the Entity that portions of the Capital Project may be financed with proceeds of Bonds issued by the Authority. These Bonds are obligations for which the interest paid to investors is excluded from gross income for federal tax purposes. Under federal tax rules, a number of requirements and restrictions must be met in order for interest on the Bonds to be treated as tax-exempt, including restrictions on the use of Bond-financed property and the investment of Bond proceeds. Such requirements and restrictions continue for the life of the Bonds. To ensure such compliance, the Entity hereby agrees to abide by the additional requirements as set forth in Section 7(d) below.
7. Entity Certifications.

- a. Maintenance of Effort. Entity certifies that it is currently in compliance with, and will remain in compliance throughout the term of this Contract with, the maintenance of effort requirements set forth in the Ordinance:
 - i. Entity shall not reduce the amount of its non-federal, non-state, non-Measure A transportation expenditure while receiving sales tax revenue.
 - ii. Entity shall continue to impose its non-SCTMFP transportation mitigation fees at rates that are not less than the non-SCTMFP transportation mitigation fees imposed by Entity during Fiscal Year 2008-09, unless supported by an engineering-based fee study approved by the County Board of Supervisors.
- b. Sacramento Countywide Transportation Mitigation Fee Program (SCTMFP). Entity certifies that it is implementing the SCTMFP in accordance with STA Resolution STA-06-0006 and related guidelines adopted by the Board.
- c. Routine Accommodations of Bicycles and Pedestrians. Entity certifies that the Capital Projects' design provides for routine accommodation of bicycles and pedestrians.
- d. Tax Certifications. Entity agrees that the Capital Project is properly described in Section 2 above, and Entity expects to own all components of the Capital Project for the entire useful lives of such assets. For the same duration, Entity does not expect that any component of the Capital Project will be used by any party other than the public or by state or local government (including use by operation, management, lease, or any preferential right). Entity shall notify authority in the event of any change to such expectations and agrees to consult and coordinate in good faith with Authority to preserve the tax-exempt status of any affected Bonds.

8. Disbursement of Revenues.

- a. Disbursements of revenue pursuant to this Contract shall be made on a reimbursement basis. If Entity demonstrates a need for advance payments, the STA Executive Director may advance up to 10 percent of the total allocation after Entity commences work and begins incurring project expenses.
- b. Claims for payment shall be submitted no more often than monthly.
- c. All claims shall be approved by Entity's Project Manager prior to submission to Authority.

9. Reporting.

- a. Entity shall submit quarterly status reports in approved STA format. Information in the status report shall include:
 - Total anticipated project cost
 - Amount funded to date
 - Expenditures by quarter
 - Project status
- b. Quarterly status reports will be due to the Authority thirty (30) days after the end of each calendar quarter.
- c. At least annually, at the request of the STA Executive Director, a representative from the entity shall provide a status report for each project funded under this agreement during a regularly scheduled meeting of the STA Governing Board.

10. Audits.

- a. STA staff will review progress payment claims on a selected basis.
- b. Annual audits of the STA will be performed by an independent auditor and reviewed by the Independent Taxpayer Oversight Committee (ITOC). As part of that audit, Entity expenditures will also be audited.
- c. Claims found to have inadequate supporting documentation may be denied by the STA Executive Director. Funds spent for any expenditures found to be in noncompliance with this Contract may be withheld from future payments. The Board may reallocate any funds so withheld for other Measure A capital projects.

11. Designation of Project Manager. Entity shall designate a Project Manager who shall be the responsible representative of Entity to Authority staff relating to administration of this Contract.

12. Signs. Entity shall post reflective signs at Capital Project sites while they are open for public use stating that the project is funded with Measure A funds. The signs must be approved by the STA Executive Director.

13. Indemnity and Hold Harmless. Entity shall indemnify and save harmless the Sacramento Transportation Authority, its officers, employees, and agents, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, and expenses of every type and description to which any or all of them may be subjected, by reason of or resulting from, directly or indirectly, the performance of this Contract by Entity whether or not caused in part by passive negligence of a party indemnified hereunder. The foregoing shall include, but not be limited to, any attorney fees reasonably incurred by Authority.

The parties promise and agree to abide by the terms of this Contract as set forth above.

CITY OF SACRAMENTO

**SACRAMENTO TRANSPORTATION
AUTHORITY**

Authorized Signature

Sabrina Drago, Executive Director

Printed Name and Title

Date

Date

CONTRACT STA-21-CAE-003

**Capital Allocation and Expenditure Contract
For Capital SouthEast Connector Authority Measure A Transportation Capital
Projects**

This Contract is made as of _____ 2021 by and between the Sacramento Transportation Authority, a local transportation authority formed pursuant to the provisions of Public Utilities Code 180000 et seq., hereinafter called "Authority", and the Capital SouthEast Connector Authority, hereinafter called "Entity."

RECITALS

WHEREAS, in 2004, the electors of Sacramento County approved, by two-thirds vote, sales tax Measure 'A' and Authority Ordinance No. 04-01 ("Measure A Ordinance"); and

WHEREAS, the Measure A Ordinance includes the "Sacramento County Transportation Expenditure Plan 2009–2039" ("Expenditure Plan"); and

WHEREAS, the Expenditure Plan includes the following capital improvement project: I5/SR99/SR50 Connector ("Project"); and

WHEREAS, AUTHORITY and ENTITY are parties to a prior and separate Capital Project Allocation and Expenditure Contract for the Project dated August 27, 2015 ("2015 Contract"); and

WHEREAS, Section 4 of the 2015 Contract was amended a third time in March 2021 to allow additional time to expend the funds allocated in the 2015 Contract on eligible Project costs through June 30, 2023; and

WHEREAS, the allocation in the 2015 Contract does not constitute the entire allocation to Entity for the Projects under the Expenditure Plan; and

WHEREAS, additional Entity funding was negotiated in accordance with STA Governing Board action in October 2020; and

WHEREAS, it is desired that the additional Entity funding approved in October 2020 be identified in this separate Contract for accountability and tracking purposes.

NOW, THEREFORE in consideration of the conditions herein contained, Authority and Entity do hereby agree to enter into "parallel" Contracts.

THE PARTIES AGREE AS FOLLOWS:

1. Purpose. The purpose of this Contract is to:

- a. Provide for the transfer by the Authority to Entity of sales tax revenue and/or transportation mitigation fee revenue, including both current revenues and proceeds of Authority financings (i.e. proceeds from tax-exempt bonds, hereinafter called “Bonds”) for the Capital Projects described in Section 2.
 - b. Set forth Entity’s obligations with respect to the receipt and expenditure thereof.
2. Description of Capital Project. The Capital Project funded by this Contract are:
 - a. Design, engineering, environmental clearance, environmental mitigation, Right-of-Way acquisition, and construction of a multimodal transportation corridor connecting the Cities of Elk Grove, Rancho Cordova, and Folsom in the southern and eastern portions of Sacramento County; including general agency administration functions as defined in Sections 3 and 8 below.
3. Definitions. Unless the context otherwise requires, as used in this Contract, the following terms shall have the following meanings:
 - a. “Act” means the Local Transportation Authority and Improvement Act set forth in the provisions of the Public Utilities Code commencing with Section 180000.
 - b. “Board” means the Governing Board of the Sacramento Transportation Authority.
 - c. “Measure A” or “Ordinance” means Sacramento Transportation Authority Ordinance No. STA 04-01.
4. Amount of Allocation and Period of Expenditure. The Board has allocated to Entity the maximum sum of **\$4,000,000** for expenditure on the Capital Projects during FYs 2022, 2023, and 2024. No additional funds will be provided under this contract.
5. Compliance. The use and expenditure of sales tax revenue and transportation mitigation fee revenue by Entity shall be in full compliance with the provisions of the Act, the Ordinance, applicable resolutions of the Board, this Contract, and all other applicable contractual and legal requirements.
6. Tax Compliance. The Authority specifically advises the Entity that portions of the Capital Project may be financed with proceeds of Bonds issued by the Authority. These Bonds are obligations for which the interest paid to investors is excluded from gross income for federal tax purposes. Under federal tax rules, a number of requirements and restrictions must be met in order for interest on the Bonds to be treated as tax-exempt, including restrictions on the use of Bond-financed property and the investment of Bond proceeds. Such requirements and restrictions continue for the life of the Bonds. To ensure such compliance, the Entity hereby agrees to abide by the additional requirements as set forth in Section 7(d) below.

7. Entity Certifications.

- a. Maintenance of Effort. Entity certifies that it is currently in compliance with, and will remain in compliance throughout the term of this Contract with, the maintenance of effort requirements set forth in the Ordinance:
 - i. Entity shall not reduce the amount of its non-federal, non-state, non-Measure A transportation expenditure while receiving sales tax revenue.
 - ii. Entity shall continue to impose its non-SCTMFP transportation mitigation fees at rates that are not less than the non-SCTMFP transportation mitigation fees imposed by Entity during Fiscal Year 2008-09, unless supported by an engineering-based fee study approved by the County Board of Supervisors.
- b. Routine Accommodations of Bicycles and Pedestrians. Entity certifies that the Capital Projects' design provides for routine accommodation of bicycles and pedestrians.
- c. Tax Certifications. Entity agrees that the Capital Project is properly described in Section 2 above, and Entity expects to own all components of the Capital Project for the entire useful lives of such assets. For the same duration, Entity does not expect that any component of the Capital Project will be used by any party other than the public or by state or local government (including use by operation, management, lease, or any preferential right). Entity shall notify authority in the event of any change to such expectations and agrees to consult and coordinate in good faith with Authority to preserve the tax-exempt status of any affected Bonds.

8. Disbursement of Revenues.

- a. Disbursements of revenue pursuant to this Contract shall be made on a reimbursement basis. If Entity demonstrates a need for advance payments, the STA Executive Director may advance up to 10 percent of the total allocation after Entity commences work and begins incurring project expenses.
- b. Claims for payment shall be submitted no more often than monthly.
- c. All claims shall be approved by Entity's Project Manager prior to submission to Authority.

9. Reporting.

- a. Entity shall submit quarterly status reports in approved STA format. Information in the status report shall include:

- Total anticipated project cost
 - Amount funded to date
 - Expenditures by quarter
 - Project status
- b. Quarterly status reports will be due to the Authority thirty (30) days after the end of each calendar quarter.
- c. At least annually, at the request of the STA Executive Director, a representative from the entity shall provide a status report for each project funded under this agreement during a regularly scheduled meeting of the STA Governing Board.

10. Audits.

- a. STA staff will review progress payment claims on a selected basis.
- b. Annual audits of the STA will be performed by an independent auditor and reviewed by the Independent Taxpayer Oversight Committee (ITOC). As part of that audit, Entity expenditures will also be audited.
- c. Claims found to have inadequate supporting documentation may be denied by the STA Executive Director. Funds spent for any expenditures found to be in noncompliance with this Contract may be withheld from future payments. The Board may reallocate any funds so withheld for other Measure A capital projects.

11. Designation of Project Manager. Entity shall designate a Project Manager who shall be the responsible representative of Entity to Authority staff relating to administration of this Contract.

12. Signs. Entity shall post reflective signs at Capital Project sites while they are open for public use stating that the project is funded with Measure A funds. The signs must be approved by the STA Executive Director.

13. Indemnity and Hold Harmless. Entity shall indemnify and save harmless the Sacramento Transportation Authority, its officers, employees, and agents, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, and expenses of every type and description to which any or all of them may be subjected, by reason of or resulting from, directly or indirectly, the performance of this Contract by Entity whether or not caused in part by passive negligence of a party indemnified hereunder. The foregoing shall include, but not be limited to, any attorney fees reasonably incurred by Authority.

The parties promise and agree to abide by the terms of this Contract as set forth above.

**CAPTIAL SOUTHEAST CONNECTOR
AUTHORITY**

**SACRAMENTO TRANSPORTATION
AUTHORITY**

Authorized Signature

Sabrina Drago, Executive Director

Printed Name and Title

Date

Date



APRIL 8, 2021

AGENDA ITEM # 7

APPROVE DECENNIAL REVIEW UPDATES

Action Requested: Adopt and Approve

Key Staff: Sabrina Drago, Executive Director

Recommendation

Approve staff recommendations, based on public comments and the Professional Advisory Group, for Decennial Review Updates.

Background

Section XII of the Measure A implementing Ordinance states that “Beginning in 2019, and every ten years thereafter, the Authority shall review and, where necessary, propose amendments to the Expenditure Plan to meet changing transportation needs. Such review shall consider recommendations from local governments, transportation agencies and interest groups, and the general public.”

Given the effort of exploring the potential for a November 2020 transportation sales tax Measure, the Governing Board approved Resolution STA-20-0001 in January 2020, continuing the initial ten-year review until a decision was made as to whether to place a new measure on the ballot and, if necessary, the voters decided the issue. The Authority approved a plan at its September 2020 meeting to re-initiate the ten-year review process.

Discussion

Since Measure A passed in 2004 by 75% approval rate, it was the preference of the Governing Board to limit changes to the Expenditure Plan. With that stipulation in mind, the Authority conducted a series of public hearings over three months beginning in November 2020. Per Section XII of the Ordinance, the November hearing was focused on input from local governments, the December meeting on input from transportation agencies and interest groups, and the January 2021 meeting was open to the general public.

The Authority received comments from six (6) entities, including Caltrans, City of Elk Grove, City of Sacramento, Sacramento County, the STA Independent Taxpayer Oversight Committee and a member of the public. A synopsis of their comments is below:

Anatolia Homeowner, Sherry Kimbrow:

- Better commuting and park trails including better upkeep on existing paths, safe access to paths and shorter options for children, elderly and disabled.

Caltrans:

- Maintain percentage allocations in major categories and limit funding transfers between subcategories.

Elk Grove:

- No changes to the voter-approved allocation percentages
- Add the following eligible expenditures:
 - Curb Ramps replacement would be allowed with Road Maintenance
 - Bikeways including Class IV Bikeways (Separated Bikeways) or Cycle Tracks
 - Smart City Infrastructure related to Transportation Mobility and Safety
 - Bike Racks, Bike/pedestrian counters
 - Signals for pedestrians and bicyclists
 - Bus Stops and Shelters
 - Non-Infrastructure Education Programs for Safe Routes to School

Independent Taxpayer Oversight Committee (ITOC):

- Add two (2) voting members to bring the total up to five (5) versus the current three (3).

Sacramento, City:

- Does not recommend major changes to the program with some amendments:
 - From the City's approved targeted CIP Allocation, provide \$2M toward exploration of a new management structure to oversee the Intermodal Station
 - In the event that that the Richards Blvd./I-5 Interchange is determined to not be a feasible project, reallocate capital funding from Richards Blvd/I-5 Interchange to a new multi-modal bridge across the American River.
 - Add regional bike trails to the category of allowable expenditures in the Transportation Project Environmental Mitigation Program

Sacramento, County:

- Add language in Section IX, "Safety, Streetscaping, Pedestrian and Bicycle Facilities" to include activities that promote bicycle and pedestrian use and education around safety. The request states to not include options for infrastructure or funding, but merely education and outreach.

However, upon review from General Council, Staff were advised that the comment from the Independent Taxpayer Oversight Committee was part of Exhibit B, whereas the Decennial Review Update was only for Exhibit A, the Expenditure Plan. Their request was not incorporated into the updated Plan.

Staff recommendations for the proposed amendments are attached for the Board's consideration. If approved, the Authority will notify the Board of Supervisors and the City Councils of its initiation of any amendment, reciting findings of necessity.

Actions of the Board of Supervisors and City Councils to approve or to oppose the amendment(s) must be communicated to the Authority within 60 days after the date the notification is provided. Failure to notify the Authority of formal action within 60 days after the date of the notice will constitute approval. To be successful, an amendment(s) must be approved by the Board of Supervisors and by a majority of the City Councils constituting a

majority of the incorporated population. The process will be completed by June 30, 2021, as required by Resolution STA-20-001.

ORDINANCE NO. STA 04-01

AN ORDINANCE PROVIDING FOR THE CONTINUATION OF A ONE-HALF OF ONE PERCENT RETAIL TRANSACTIONS AND USE TAX BY THE SACRAMENTO TRANSPORTATION AUTHORITY FOR LOCAL TRANSPORTATION PURPOSES

BE IT ENACTED BY THE GOVERNING BOARD OF THE SACRAMENTO TRANSPORTATION AUTHORITY:

GUIDING PRINCIPLES AND PREAMBLE

To maintain and improve the quality of life in Sacramento County, the Sacramento Transportation Authority has crafted the Sacramento County Transportation Expenditure Plan:

- ▶ To reduce traffic congestion
- ▶ To improve air quality
- ▶ To maintain and strengthen the county's road and transportation systems
- ▶ To enhance Sacramento County's ability to secure state and federal funding for transportation by providing local matching funds
- ▶ To preserve unique, natural amenities
- ▶ To preserve agricultural land
- ▶ To serve all residents of Sacramento County.

This one-half of one percent retail transactions and use tax is statutorily dedicated for transportation planning, design, construction, operation and maintenance only in Sacramento County and cannot be used for other governmental purposes or programs. There are specific safeguards in this Ordinance to ensure that funding from the one-half of one percent transactions and use tax is used in accordance with the specified voter-approved transportation project improvements and programs. These safeguards include:

- The specific projects and programs included in the Expenditure Plan will be funded by revenue raised by this transactions and use tax. The Expenditure Plan can only be changed upon approval by the Sacramento County Board of Supervisors and a majority of all cities in the County representing a majority of the incorporated population.
- An Independent Taxpayer Oversight Committee is created to supervise fiscal and performance audits regarding the use of all sales tax funds and provide for independent review to ensure that all Measure funds are spent in accordance with provisions of the Expenditure Plan and Ordinance as approved by the voters.
- Continuation of Sacramento County's one-half of one percent transactions and use tax is for transportation programs only and is not intended to replace traditional revenues generated through locally-adopted development fees and assessment districts. Collection of the one-half of one percent transactions and use tax will start upon the expiration of the Existing Tax.
- The Sacramento Transportation Authority will continue to seek maximum funding for transportation improvements through State and federal programs. The Authority will not provide transactions and use tax revenue to any city or to the County unless all transportation revenues currently used by that jurisdiction continue to be used for transportation purposes.

- No more than three quarters of one percent of the available funds will be expended on administration of the sales tax program.
- A mandatory Expenditure Plan review is required every ten years to ensure that the program reflects current community needs as demographics, economics and technology change.

SECTION I. SUMMARY. This Ordinance provides for the continued imposition of a retail transactions and use tax of one-half of one percent for local transportation purposes for a period of thirty (30) years.

SECTION II. MANDATED TAXPAYER SAFEGUARDS.

A. Independent Taxpayer Oversight Committee. By April 1, 2010, an Independent Taxpayer Oversight Committee will be established as specified in Exhibit B of this Ordinance to provide citizen review and to ensure that all Measure funds are spent in accordance with provisions of the Expenditure Plan and this Ordinance. Exhibit B contains the specific terms and conditions for an Independent Taxpayer Oversight Committee and its role in supervising of periodic independent financial and performance audits.

B. Administrative Costs. The Authority shall expend only that amount of funds generated from the tax that is necessary and reasonable to carry out its responsibilities for audit, administrative expenses, staff support, and contract services. In no case shall the funds expended for administration exceed three quarters of one percent (0.75%) of the annual net amount of revenue raised by the tax.

C. Maintenance of Effort. The Authority, by the enactment of this Ordinance, intends the additional funds provided government agencies by this measure to supplement existing local revenues being used for street and highway purposes. Transactions and use tax revenue shall not be used to replace existing road funding programs or to replace requirements for new development to provide for its own road needs. Under this Measure, funding priorities should be given to addressing current transportation needs, easing congestion, and improving safety.

The government agencies shall maintain their existing commitment of transportation funds for street and highway, and the Authority shall enforce this provision by appropriate actions, including fiscal audits of the local agencies.

SECTION III. DEFINITIONS. The following definitions shall apply in this ordinance:

- A. "Expenditure Plan" means the Sacramento County Transportation Expenditure Plan 2009-2039 (attached as Exhibit A and adopted as part of this Ordinance) including any future amendments thereto.
- B. "County" means the County of Sacramento.
- C. "Authority" means the Sacramento Transportation Authority, a public district formed for the local performance of governmental functions under the Local Transportation Authority and Improvement Act, commencing at Section 180000 of the Public Utilities Code.
- D. "Existing Tax" means the one-half of one percent retail transactions and use tax adopted pursuant to Ordinance No. STA-0002.

SECTION IV. AUTHORITY. This Ordinance is enacted pursuant to the provisions of Division 19 (commencing with Section 180000) of the Public Utilities Code, and Section 7252.16 of the Revenue and Taxation Code.

SECTION V. CONTINUED IMPOSITION OF RETAIL TRANSACTIONS AND USE TAX.

Upon voter approval, the Authority shall continue to impose, in the incorporated and unincorporated territory of the County of Sacramento, a transactions and use tax for transportation purposes (referred to as “the tax”) at the rate of one-half of one percent (0.5%) for a period of thirty (30) years beginning April 1, 2009. There shall be no concurrent assessment of the Existing Tax (which will expire on March 31, 2009) and the tax to be imposed pursuant to this Ordinance. The tax shall be imposed by the Authority in accordance with Section 180201 of the Public Utilities Code and Part 1.6 (commencing with Section 7251) of Division 2 of the Revenue and Taxation Code. The provisions of Revenue and Taxation Code Sections 7261 and 7262 and the provisions of Ordinance No. STA 0002, unless specifically modified by this Ordinance, are incorporated herein by reference as though fully set forth herein. The tax shall be in addition to any other taxes authorized by law, including any existing or future state or local sales tax or transactions and use tax.

SECTION VI. USE OF PROCEEDS. Revenues from the tax shall be used for transportation purposes only and may include, but are not limited to, administration, construction, maintenance, improvements, and operation of local streets, roads, and highways, state highways and freeways, public transit systems including rail, bicycle and pedestrian facilities and related purposes. These purposes include expenditures for planning, environmental reviews and mitigation, engineering and design costs, and related right-of-way acquisition and for the Cosumnes River Permanent Open Space Preserve and the American River Parkway/Bikeway Network. Expenditures also include, but are not limited to, debt service on bonds and expenses in connection with issuance of bonds. Routine accommodation of bicycles and pedestrians shall be included in all transportation projects.

SECTION VII. SACRAMENTO COUNTYWIDE TRANSPORTATION MITIGATION FEE PROGRAM (SCTMFP). No revenue generated from the tax shall be used to replace transportation mitigation fees required from new development now in effect in Sacramento County. In addition, each local jurisdiction must adopt a development financing mechanism as stated below in order to qualify for its local road maintenance formula funds.

Goal: To develop and implement a uniform transportation mitigation fee on all new development in Sacramento County that will assist in funding road and transit system improvements needed to accommodate projected growth and development.

Specific Program Guidelines:

- A. The Authority shall develop, in coordination with all local jurisdictions, a professional engineering and planning based process for charging new development with the cost of traffic impacts caused by each development during the period covered by this sales tax measure. Such a process will be consistent with state law, require each local jurisdiction to project growth of travel demand, identify specific road and transit capital improvements to meet such demands, describe the appropriate “nexus” between such demand and improvements, and adopt such fee programs as necessary to implement the revenues required.
- B. The Authority process guidelines shall be adopted by the Authority Board no later than November 1, 2005. Each local government jurisdiction shall have completed the adopted process and implemented the fee program in its jurisdiction no later than March 31, 2009 for implementation on April 1, 2009. The fees to be implemented for

this program shall be remitted to the Authority for reallocation to the local jurisdiction(s) in accordance with the SCTMFP. The fees to be implemented shall be in the following amounts:

- For each new single family unit - \$1,000.00
- For new multi-family units, retail building space, office space, and industrial or warehousing space, the fee shall be proportionate to the trip generation rate of the affected land use relative to the trip generation rate of a single family unit.

The fees shall be adjusted annually by action of the STA Governing Board to reflect changes in construction costs based on the McGraw-Hill Engineering News Record (ENR) 20-city Construction Cost Index.

The fee structure shall be implemented if validated by the nexus study described in A. above.

- C. All new low and very low income housing as defined by the California Department of Housing & Community Development shall be exempt from this fee program.
- D. The overall program allocation for the fees collected by this program shall be:
- 35% Local streets and roads for capital improvements and rehabilitation
 - 20% Public transit for capital improvements and rehabilitation
 - 20% Local interchange upgrades, safety projects and congestion relief improvements on the local freeway system, including bus and carpool lane projects.
 - 15% Smart Growth Incentive Program
 - 10% Transportation Project Environmental Mitigation, including, but not limited to habitat conservation, open space preservation, habitat replacement, and recreation, and overall environmental enhancement of transportation facilities to the benefit of local transit users and neighborhoods. Necessary open space preservation and natural habitat preservation programs shall be eligible uses of these funds.
- E. Authority Board may approve changes in the overall formula allocation described in D. above by a 2/3 vote.
- F. All projects and program priorities for use of the SCTMFP shall be included in a five-year program, annually updated and approved by the Authority Board.
- G. All fees raised under this program must be expended in the impacted area where the fees were generated.
- H. A local jurisdiction that fails to implement the SCTMFP on April 1, 2009 shall forfeit its allocation of local street and road maintenance funds authorized by extension of the transportation sales tax. For each month such local jurisdiction does not have this fee program in full operation, such local jurisdiction shall lose local formula road maintenance funds and all such funds shall be made immediately available on a pro-rata basis to all other local jurisdictions that do have this fee program in place and operational.

SECTION VIII. BONDING AUTHORITY. Upon voter approval of the Measure, the Authority shall have the power to sell or issue, from time to time, on or before the collection of taxes, bonds or other evidence of indebtedness, in the aggregate principal amount at any one time outstanding of not to exceed the estimated proceeds of the tax, and to secure such indebtedness solely by way

of future collection of taxes, for capital outlay expenditures for the purposes set forth in Section VI hereof, including the carrying out of transportation projects described in the Expenditure Plan.

SECTION IX. ANNUAL APPROPRIATIONS LIMIT. The annual appropriations limit established pursuant to Section 4 of Article XIII B of the California Constitution and Section 180202 of the Public Utilities Code shall be \$195,000,000.00 for fiscal year 2009/10. The appropriations limit shall be subject to adjustment as provided by law.

SECTION X. OPERATIVE DATE. Subject to voter approval, this Ordinance shall become operative on the first day of the first calendar quarter commencing more than 110 days after adoption of this Ordinance but in no event earlier than April 1, 2009. Prior to the operative date of this Ordinance, the Authority shall contract with the State Board of Equalization to perform all functions incidental to the administration and operation of this Ordinance. The intent is that the extension of the sales tax shall become operative immediately upon expiration of the Existing Tax.

SECTION XI. ELECTION. The Authority requests the Board of Supervisors to call an election for voter approval of this Ordinance which election shall be held on November 2, 2004, and consolidated with other elections to be held on that same date, that the measure retain its designation as Measure "A," and that it appear first in order on the County ballot before all other local measures. The election shall be called and conducted in the same manner as provided by law for the conduct of elections by a county. The sample ballot to be mailed to the voters shall include this full Ordinance and the entire Expenditure Plan. Approval of the Ordinance and the imposition of the tax shall require the affirmative vote of 2/3rds of the electors voting on the proposition at the election described in this section. The proposition to be placed on the ballot shall read substantially as follows:

To relieve traffic congestion, improve safety, and match state/federal funds by:

- Improving I-5, I-80, US 50, SR 99;
- Constructing a new road connecting I-5/SR 99/US 50;
- Maintaining/improving local roads;
- Increasing transit for seniors and persons with disabilities;
- Expanding/planning for light rail and commuter rail;

Shall Sacramento County voters continue the existing half-cent transportation sales tax for thirty years, including creating an Independent Taxpayer Oversight Committee to conduct audits ensuring all voter mandates are met?

YES _____

NO _____

SECTION XII. EXPENDITURE PLAN AMENDMENTS. The Expenditure Plan may only be amended by the following process:

1. Beginning in 2019, and every ten years thereafter, the Authority shall review and, where necessary, propose amendments to the Expenditure Plan to meet changing transportation needs. Such review shall consider recommendations from local governments, transportation agencies and interest groups, and the general public.
2. The Authority shall notify the Board of Supervisors and the city councils in writing of its initiation of an amendment, reciting findings of necessity.
3. Actions of the Board of Supervisors and city councils to approve or to oppose the amendment shall be communicated to the Authority within 60 days after the date the notice is mailed. Failure of the Board of Supervisors or any city council to notify the Authority of formal action within 60 days after the date the notice is mailed shall constitute approval.
4. The amendment must be approved by the Board of Supervisors.
5. The amendment must be approved by a majority of the city councils constituting a majority of the incorporated population.

SECTION XIII. SEVERABILITY. If any provision of this Ordinance is for any reason held invalid or unenforceable by a court of competent jurisdiction, that holding shall not affect the validity or enforceability of the remaining provisions, or the Existing Tax, and the Authority declares that it would have passed each part of this Ordinance irrespective of the validity of any other part.

SECTION XIV. THE EXISTING TAX. Nothing in the Ordinance is intended to modify, repeal, alter or increase the Existing Tax. The provisions of this Ordinance shall apply solely to the retail transactions and use tax adopted herein and not to the collection or administration of the Existing Tax.

SECTION XV. This Ordinance was introduced and the title thereof read at the regular meeting of the Governing Board on July 22, 2004 and on July 22, 2004 further reading was waived by a vote of the Directors present.

On a motion by Member _____, seconded by Member _____, the foregoing Ordinance was passed and enacted by the Governing Body of the Sacramento Transportation Authority, State of California at a regular meeting thereof, this ____ day of _____, 2004 by the following vote, to wit:

AYES: Members

NOES: Members

ABSENT: Members

ABSTAIN: Members

Chair
Governing Board of the Sacramento
Transportation Authority

ATTEST:

Clerk

(2/3 Vote Required)

**Sacramento County Transportation Expenditure Plan 2009-2039
June 10, 2004**

Revenue Estimates and Distribution. Allocation of revenue authorized by Ordinance No. STA 04-01 is established within this Expenditure Plan. Funds shall be allocated by percentage of the actual revenue received. An estimate of revenues and allocation among categories is reflected in the Expenditure Plan. The estimated revenue is based upon 2004 value of money and is not binding or controlling.

Formula Allocations. After deduction of required Board of Equalization fees and authorized costs, revenues to be distributed by formula allocation to local governments and transit agencies as described below. All other funds shall be deposited with the Authority and expended at the discretion of the Authority Board as described below.

Contribution from New Development. No revenue generated from the tax shall be used to replace transportation mitigation fees currently required from new development in each local jurisdiction. In addition, each local jurisdiction must adopt a development financing mechanism as specified in Ordinance No. STA 04-01 in order to continue receiving local road maintenance funds.

Requirement for Annual Financial and Performance Audits of Measure Funds. The Sacramento Transportation Authority and each agency receiving an allocation of Measure revenue authorized in this Expenditure Plan shall undergo an annual financial audit supervised by the Independent Taxpayer Oversight Committee (ITOC) and performed in accordance with generally accepted auditing standards and government auditing standards issued by the Comptroller General of the United States. Compliance audits shall also be conducted to ensure that each agency is expending funds in accordance with the provisions and guidelines established for Measure revenues. In addition, the ITOC shall, based on performance standards for expending sales tax funds adopted by the Authority Board, conduct a performance audit to report on progress in meeting such standards and make recommendations for improving overall program performance. The Authority Board shall adopt the performance standards for each part of the program no later than December 31, 2009.

Sacramento Expenditure Plan. All available sales tax revenues shall be expended as follows:

I. **Revenue Estimates.** Tax revenues generated by Ordinance No. STA 04-01 over a thirty-year period are estimated to be **\$4,740 million**. Approximately **\$488 million** in contributions from new development are projected over this period, for an estimated total revenue of **\$5,228 million** for transportation improvements. Revenue estimates are not binding or controlling. To the extent these estimates provide more or less revenue, the Authority Board shall make appropriate allocation adjustments periodically to reflect actual revenues received.

II. **Allocations to the Cities of Galt and Isleton.** The City of Galt shall receive 1% of the sales tax revenues collected annually for eligible transportation purposes. The City of Isleton shall receive 0.04% of the sales tax revenues collected annually for eligible transportation purposes.

III. Cosumnes River Permanent Open Space Preserve. Funding shall be available for planning, development and acquisition of the Cosumnes River Permanent Open Space Preserve for the I5/SR99/US50 corridor and/or any other environmental mitigation needed to offset project impacts from such project consistent with the adjacent local jurisdictions' land use planning documents and processes. The Preserve shall be eligible for funding in the amount of at least \$5 million in each of the following categories: Local Arterial Program, as part of the funding dedicated for the I-5/US 50/SR 99 corridor; the Smart Growth Incentive Program; and the Transportation Environmental Mitigation Program. Facilities located in the Preserve can also qualify for funds available in the Safety, Streetscaping, Pedestrian and Bicycle Facilities Program at the discretion of each local jurisdiction receiving such funding. The Preserve shall be administered by an appropriate private, not for profit land preservation organization or other organization created specifically for the purpose of administering Measure open space funds as selected by the Authority Board.

IV. Local Road Maintenance, Safety and Congestion Relief Program. 38% of sales tax revenue collected and 35% of the revenues collected from new development shall fund the programs and projects included in this program. Cost estimates for such projects are not binding or controlling. Included in this program are subcategories described below.

A. City Street and County Road Maintenance Program. 30% of the sales tax revenue collected shall be distributed among the local jurisdictions for city street and county road maintenance. A Maintenance of Effort is required regarding existing road maintenance programs to ensure that the net impact of the program will provide continuing funds for this purpose in each of the cities and in the unincorporated areas of the County. Each of the local jurisdictions receiving these funds shall file a pavement and bridge maintenance system report on a biennial basis with the Authority regarding progress in maintaining local streets and roads. The report shall be in a format that can be audited on a biennial basis by the Independent Taxpayer Oversight Committee.

Distribution among the cities and unincorporated County area shall be based 75% on relative population and 25% on total street/road mileage. The formula will be updated annually based on the California Department of Finance population estimates for cities and counties.

B. Local Arterial Safety and Traffic Operations Improvements Program. 8% of the sales tax revenue collected and 35% of the revenues collected from new development shall fund local arterial safety and traffic operations improvements. The 8% portion would be separated into two categories of which 5% would be dedicated to the Local Arterial Program and 3% will be dedicated to the Traffic Control and Safety Program.

1. Local Arterial Program. This program will fund arterial safety, operational, streetscaping, bicycle, and pedestrian improvements, improved accessibility for the disabled, and upgrades of arterials to urban standards. A technical advisory committee made up of the public works directors or their designees from the County and each city in the county shall develop a recommended five-year program, updated annually, for expenditure of these program funds. Funds from this program shall be expended for implementation of, but shall not be limited to, the specific arterials or proposed corridors detailed in this Expenditure Plan. This list of arterials may be modified based on changing traffic, safety, and other changed conditions and priorities of each local jurisdiction over time. The costs shall also include necessary environmental mitigation directly related to project implementation. Receipt of funding for the I-5/SR99/US50 Connector is contingent on establishment of a habitat conservation approach, approval of the habitat conservation approach by the appropriate agencies, and adoption of the habitat conservation approach by the local recipient of funds.

2. Traffic Control and Safety Program. This program will fund traffic control system improvements, high priority pedestrian and vehicle safety projects, and emergency vehicle preemption systems for quicker police, fire and ambulance response throughout Sacramento County. Distribution among the cities and unincorporated County area shall be based 75% on relative population and 25% on total street/road mileage. The formula will be updated annually based on the California Department of Finance population estimates for cities and counties. Each local jurisdiction shall file a five-year program for use of the funds with the Authority, updated every other year to ensure program integrity and performance.

V. Transit Congestion Relief Program. 38.25% of the sales tax revenue collected and 20% of the revenues collected from new development shall fund transit capital improvements and provide funding for operating and maintaining such improvements, as well as existing transit services. The funding for capital improvements assumes 1/3 sales tax and a match of 2/3 federal, state and/or local funding other than sales tax. The funding for operations shall augment existing funds available for transit operations. These funds will be directly subvented to Sacramento Regional Transit based on a five year, annually updated transit capital and operating business plan recommended by Sacramento Regional Transit District, and approved by the Authority Board.

VI. Neighborhood Shuttle System. At least \$30 million in sales tax revenues will fund the development of additional Neighborhood Shuttles throughout Sacramento County. The Authority shall develop a program that will allow local jurisdictions to compete for these funds.

VII. Senior and Disabled Transportation Services. This program will be funded by an average of 4.5% of the sales tax revenues collected over the life of the tax. The sales taxes dedicated in support of the Consolidated Transportation Services Agency (CTSA) shall be 3.5% for years 1-10. In years 11-20 the funding would increase to 4.5% and then increase in years 21-30 to 5.5%. The City and County of Sacramento General Fund contribution to CTSA will expire with the Existing Tax. The funds will be directly subvented to the CTSA based on a five year, annually updated business plan recommended by the CTSA and approved by the Authority Board.

VIII. Freeway Safety and Congestion Relief Program. 12% of the sales tax revenue collected and 20% of the revenues collected from new development shall fund congestion relief projects on the freeway system in Sacramento County. This program is separated into two categories of which 9% of the sales tax revenues collected shall be dedicated to Regional Bus/Carpool Lane Connectors and Extensions and 3% of the sales tax revenues collected shall be dedicated to Local Freeway Interchange Congestion Relief Upgrades.

A. Regional Bus/Carpool Lane Connectors/Extensions. These projects will provide congestion relief on the freeway system throughout the County. The funding for these capital improvements assumes 1/2 sales tax and a match of 1/2 federal, state and/or local funding other than sales tax. All local jurisdictions, except the City of Isleton, shall establish transportation management associations to promote the use of carpools and public transit.

B. Local Freeway Interchange Congestion Relief Upgrades. Funding for these projects assumes 2/3 federal, state, and/or developer oriented funding and 1/3 sales tax funding. The Authority Board has the flexibility to change the matching formula based on project delivery and other issues the Board deems appropriate for consideration. Funding is dedicated for, but is not limited to, interchanges described in this Expenditure Plan. The Authority Board in collaboration with the Technical Advisory Committee of Authority may add additional local freeway congestion relief projects to reflect the changing transportation needs of the County over time.

IX. Safety, Streetscaping, Pedestrian and Bicycle Facilities. 5% of the sales tax revenues collected shall fund non-motorized, pedestrian and bicycle safety improvements. With the exception of \$30 million dedicated for improvement and maintenance of the American River Parkway/Bikeway Network, funds shall be distributed among the cities and unincorporated County area based 75% on relative population and 25% on total street/road mileage. The formula will be updated annually based on the California Department of Finance population estimates for cities and counties.

X. Transportation-Related Air Quality Program. 1.50% of the sales tax revenues collected shall exclusively fund projects and programs that facilitate the Authority's ability to meet state and federal air quality mandates for mobile sources and to environmentally mitigate for transportation capital improvements in this Expenditure Plan. The Sacramento Metropolitan Air Quality Management District (SMAQMD) shall administer the program. The program will be based on a five-year program, updated annually, recommended by the SMAQMD and approved by the Authority Board.

XI. Smart Growth Incentive Program. 15% of the revenues collected from new development shall be available to local jurisdictions on a competitive basis for projects that meet certain smart growth objectives. This program shall be administered by the Authority and shall take into account the SACOG Community Design competitive funding program. The program shall include planning, development and acquisition of the Cosumnes River Permanent Open Space Preserve for the I5/SR99/US50 corridor and/or any other environmental mitigation needed to offset impacts from that project consistent with the adjacent local jurisdictions' land use planning documents and processes.

XII. Transportation Project Environmental Mitigation Program. 10% of the revenues collected from new development shall fund environmental mitigation for transportation projects contained in the Expenditure Plan. The program shall be administered by the Authority and will be available for a variety of eligible categories of mitigation. The program shall include planning, development and acquisition of the Cosumnes River Permanent Open Space Preserve for the I5/SR99/US50 corridor and/or any other environmental mitigation needed to offset impacts from that project consistent with the adjacent local jurisdictions' land use planning documents and processes.

XIII. General Program Administration and Independent Taxpayer Oversight Committee. 0.75% of the sales tax revenues collected shall fund staff costs of the Authority and other costs of administering the programs and projects contained in this Expenditure Plan and the activities of the Independent Taxpayer Oversight Committee described in Exhibit B.

Sacramento County Transportation Expenditure Plan 2009-2039

Percentage of DEVELOPER FEES	Percentage of SALES TAX		Proposed Sales Tax Allocation in \$	Proposed Developer Fee Allocation in \$	TOTAL PROPOSED ALLOCATION IN \$\$
35%	38%	Local Road Maintenance, Safety and Congestion Relief Program	<u>\$1,786</u>	<u>\$171</u>	<u>\$1,957</u>
	30%	City Street and County Road Maintenance Program	<u>\$1,410</u>		<u>\$1,410</u>
35%	8%	Local Arterial Safety & Traffic Operations Improvements Program	<u>\$376</u>	<u>\$171</u>	<u>\$547</u>
	5%	Local Arterial Program	<u>\$235</u>	<u>\$171</u>	<u>\$406</u>
		<ul style="list-style-type: none"> • Antelope Road: Watt—Auburn • Arden Way: ITS improvements Del Paso—Fair Oaks Blvd • Bradshaw Road: Grant Line—Folsom Blvd • Bruceville Road: Sheldon—Cosumnes River Blvd • Cosumnes River Blvd: I-5—Franklin Blvd • Elk Grove Blvd: Big Horn—Waterman • Folsom Blvd: 65th—Sunrise • Folsom Bridge Crossing • I5/SR99/SR50 Connector, including at least \$5 million for the Cosumnes River Permanent Open Space Preserve • Greenback Lane: I/80—Folsom/Auburn Road • Hazel Ave Improvements: Placer Co Line—Folsom Blvd • Madison Ave: Watt—Greenback • S Watt/Elk Grove-Florin Road: Folsom—Elk Grove Blvd • Sheldon Road: Bruceville—Bradshaw • Sunrise Blvd: Placer Co line—Grant Line • Watt Ave: Antelope—Capital City Freeway 			
	3%	Traffic Control and Safety Program	<u>\$141</u>		<u>\$141</u>
		Eligible funding categories:			
		<ul style="list-style-type: none"> • Synchronizing Traffic Signals • Emergency Vehicle Signal Preemption • Installing Fog/Rain/Night Roadway Reflectors • Construct Shoulders for Safety on Rural Roads • Audible Pedestrian Signals • Changeable Message Signs • Installation or improvements to streetscape for bicyclists • Modifications to streetscape to improve accessibility for disabled persons 			

Percentage of DEVELOPER FEES	Percentage of SALES TAX		Proposed Sales Tax Allocation in \$	Proposed Developer Fee Allocation in \$	TOTAL PROPOSED ALLOCATION IN \$\$
20%	38.25%	Transit Congestion Relief Program	<u>\$1,798</u>	<u>\$98</u>	<u>\$1,896</u>
	34.50%	Transit Operations, Maintenance & Safety	<u>\$1,622</u>		<u>\$1,622</u>
		Eligible funding categories:			
		• Operating & Maintaining Existing Bus, Light Rail, & Neighborhood Shuttle System			
		• Guaranteed Discount Transit Fares for Students, Seniors & Disabled			
		• Operating & Maintaining New Transit Capital Improvements			
20%	3.75%	Transit Capital Improvement Program	<u>\$176</u>	<u>\$98</u>	<u>\$274</u>
	1.25%	Construct Downtown Sacramento Intermodal Station	<u>\$58</u>		<u>\$58</u>
	2.50%	Rail Transit Improvements	<u>\$118</u>		<u>\$118</u>
		• Construct LRT extension from Meadowview Road to Cosumnes River College			
		• Implement Regional Rail commuter service (Sacto County portion)			
		• Fund planning, environmental, and design studies and processing for Downtown to Airport LRT Extension			
		• LRT Improvements in the I-80 Corridor			
		Neighborhood Shuttle System	<u>\$30</u>		<u>\$30</u>
	4.5%	Senior & Disabled Transportation Services <i>3.5% 1-10 years 4.5% 11-20 years 5.5% 21-30 years</i>	<u>\$212</u>		<u>\$212</u>
20%	12%	Freeway Safety and Congestion Relief Program	<u>\$564</u>	<u>\$98</u>	<u>\$662</u>
	9%	Regional Bus/Carpool Lane Connectors/Extensions	<u>\$423</u>		<u>\$423</u>
		• Bus/Carpool ramp connection from SR 50 E to SR 99 S			
		• I/80 Bus/Carpool Lanes: I-5—Capital City Freeway			
		• I-5 Bus/Carpool Lanes: Elk Grove to I-80			
		• Ramp widenings for connectors between SR 50 and I-5			
		• SR 50 Bus/Carpool Lanes: Sunrise thru Downtown Sacto.			
	3%	Local Freeway Interchange Congestion Relief Upgrades	<u>\$141</u>		<u>\$141</u>
		• Central Galt/SR 99 Interchange Upgrade			
		• Cosumnes Blvd/I-5 Interchange Upgrade			
		• Grant Line Road/SR 99 Interchange Upgrade			
		• I-5/I-80 Interchange Upgrade & Carpool Lane Connector			
		• Richards Blvd/I-5 Interchange Upgrade			
		• Sheldon Road/SR 99 Interchange Upgrade			
		• Watt Ave/SR 50 Interchange Upgrade			

Percentage of DEVELOPER FEES	Percentage of SALES TAX		Proposed Sales Tax Allocation in \$	Proposed Developer Fee Allocation in \$	TOTAL PROPOSED ALLOCATION IN \$\$
	5%	Safety, Streetscaping, Pedestrian and Bicycle Facilities	<u>\$235</u>		<u>\$235</u>
		Eligible funding categories:			
		<ul style="list-style-type: none"> American River Parkway/Bikeway Network Improvement Program (\$30 million or \$1 million per year) Local Corridor Streetscape Enhancements Pedestrian, Bike, and Road Safety Improvements <ul style="list-style-type: none"> <i>Installing & Maintaining Safe Pedestrian Sidewalks (inc. ADA)</i> <i>Installing Safe Bike & Pedestrian Ways Near Schools</i> <i>Bikeways, signage, wayfinding and bicycle facilities</i> <i>Education and outreach to facilitate bicycle and pedestrian travel and school access</i> <i>Programs to promote walking and bicycling as travel alternatives</i> Smart Growth Transportation Objectives 			
	1.50%	Transportation-Related Air Quality Program	<u>\$71</u>		<u>\$71</u>
		Eligible funding categories:			
		<ul style="list-style-type: none"> Air Quality Monitoring & Public Information Accelerated Replacement of Dirty Diesel Engines Replacement of Gasoline Engine Catalysts Installation of Diesel Engine Catalysts Other proven emission-reducing strategies 			
	15%	Smart Growth Incentive Program		<u>\$73</u>	<u>\$73</u>
		Eligible funding categories:			
		<ul style="list-style-type: none"> Promotion of transit oriented joint development At least \$5 million for planning, development and acquisition of the Cosumnes River Permanent Open Space Preserve for the I5/SR99/US50 corridor and/or any other environmental mitigation needed to offset project impacts from such project consistent with the adjacent local agencies land use planning documents and process. 			
	10%	Transportation Project Environmental Mitigation Program		<u>\$48</u>	<u>\$48</u>
		Eligible funding categories:			
		<ul style="list-style-type: none"> Environmental mitigation for transportation projects contained in Measure A Open space acquisition Natural habitat preservation At least \$5 million for planning, development and acquisition of the Cosumnes River Permanent Open Space Preserve for the I5/SR99/US50 corridor and/or any other environmental mitigation needed to offset project impacts from such project consistent with the adjacent local agencies land use planning documents and process. 			
	0.75%	Program Administration	<u>\$35</u>		<u>\$35</u>
		<ul style="list-style-type: none"> General Program Administration Independent Taxpayers' Oversight Committee & Independent Audit 			
	100%	Total Revenue over 30 years	\$4.74B	\$488	\$5.188B

INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE (ITOC)

ITOC Goal and Function. Voter adoption of this transportation sales tax ordinance shall result in creation of the Independent Taxpayer Oversight Committee (ITOC) as follows:

The ITOC shall review the fiscal and program performance of the sales tax transportation program through an annual audit to ensure that all transportation sales tax funds are spent by the Sacramento Transportation Authority (hereby referred to as the Authority) in accordance with all provisions of the voter-approved expenditure plan and ordinance. The ITOC's other mission is to provide positive, constructive advice to the Authority on how to improve implementation over the thirty-year course of the program for the benefit of Sacramento County residents and businesses, and to study and report on other issues related to the current or future use of transportation sales tax funds that may be expressly authorized by the Authority Board.

Audit Requirement. The ITOC shall supervise annual fiscal and periodic performance audits, which shall be performed in accordance with generally accepted auditing standards and Government Auditing Standards issued by the Comptroller General of the United States and based on performance standards adopted by the Authority Board for each program or project funded in whole or in part with sales tax funds. The first set of performance standards shall be adopted not later than July 1, 2009 and shall be updated every five years.

Role of Financial and Performance Audits. The ITOC shall, under the competitive procurement rules of the Authority and with the active involvement of the Authority Executive Director, select a professional auditor to conduct the fiscal and performance audits of expenditure of all sales tax funds, report findings based on the audits to the Authority and to the public; and recommend any additional audits that the ITOC believes may improve the financial operation and integrity of program implementation, while meeting all voter mandates. No professional audit firm shall conduct more than three consecutive fiscal audits during the course of the 30-year sales tax extension.

The Authority, with the direct participation of the ITOC, shall hold publicly noticed meetings, which may or may not be part of a regularly scheduled Board meeting, to consider the findings and recommendations of the audits. A report of the findings and recommendations of each audit by the ITOC shall be made readily available to the public in print and on the Authority's electronic website.

Membership and Selection Process. The Authority shall develop an open selection process that actively advertises for potential members and selects three committee members who are all residents of Sacramento County and possess the following professional and/or community credentials:

- One member who is a professional--active or retired--in the field of municipal audit, finance and/or budgeting with at least five years in a relevant and senior decision-making position in the public or private sector.
- One member who is a licensed civil engineer or trained transportation planner--active or retired--with at least five years of demonstrated experience in the field of transportation in government and/or the private sector.
- One member who is a current or retired manager of major public and/or privately financed development or construction projects, who by training and experience would understand the complexity, costs and implementation issues involved in building large scale infrastructure improvements.

- The Chair of the Authority Governing Board, the Executive Director of the Authority, and the County Auditor shall serve as ex-officio non-voting members of the ITOC.

Terms and Conditions for Committees

- Members shall serve staggered four-year terms. In no case shall any voting committee member serve more than eight years on the ITOC.
- Members shall serve without compensation, except they shall be reimbursed for authorized travel and other expenses directly related to the work of the ITOC.
- Members cannot be current local elected officials in the county or a full time or part time staff member of any city, the county government, local transit operator, or state transportation agency.
- If and when vacancies on the ITOC occur on the part of voting committee members, the Authority shall appoint an appropriate replacement within 90 days of the vacancy to fill the remainder of the term.

Sales Tax ITOC Operation Protocols. Given the thirty-year duration of the sales tax extension, the ITOC shall be appointed 120 days after the effective date of the sales tax extension and continue as long as sales tax funds from the current voter authorization are made available. The Authority Board and staff shall fully cooperate with and provide necessary financial and staff support to ensure the ITOC effectively carries out its duties and obligations. The annual cost of the activities of the ITOC shall not exceed \$150,000, adjusted for inflation.

Conflict of Interest. ITOC voting members are prohibited from acting in any commercial activity directly or indirectly involving the Authority, such as being a consultant or vendor to the Authority during their tenure on the ITOC. ITOC voting members shall not have direct commercial interest or employment with any public or private entity that receives transportation sales tax funds authorized by the voters in this ordinance.



APRIL 8, 2021

AGENDA ITEM # 9

SACRAMENTO AREA COUNCIL OF GOVERNMENTS (SACOG) COMMUNITY DESIGN GRANT & SMART GROWTH INCENTIVE PROGRAM (SGIP) MATCH

Action Requested: Approve Amended Allocations

Key Staff: Sabrina Drago, Executive Director

Recommendation

Per the SACOG staff recommendation of Community Design Grant recipients, reallocate \$1.455 million of the Smart Growth Incentive Program (SGIP) funding from the non-competitive program to the competitive program.

Background

On October 14, 2020, the STA Governing Board approved the strategy for implementing a first phase of funding for the Smart Growth Incentive Program (SGIP) authorized in the Measure A Expenditure Plan to allow recipient agencies to participate in the next cycle of the SACOG Community Design Grant Program and set aside \$5.5 million in capital funding for this purpose.

During the October 2020 meeting, the Board approved the strategy to allocate \$4 million to the competitive program and \$1.5 million to non-competitive applicants, for a total of \$5.5 million. This allocation was based upon discussions with partner agencies of how they anticipated applying for the grant program.

However, only one partner agency requesting non-competitive funds asked for an STA SGIP match, for a total of \$45,000, leaving \$1.455 million unallocated.

Discussion

Reallocating the \$1.455 million from the non-competitive fund to the competitive program creates a higher match to the partner agencies who were selected. It doesn't change the overall previously approved total match amount of \$5.5 million.